

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): John Deryk WATERS

Confirmation Number:

Application No.: Unassigned

Examiner:

Filing Date: 10/31/2003

Group Art Unit:

Title: APPARATUS FOR PRINTING AND MEMORY TAG APPLICATION AND METHOD THEREFOR

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e)(1) or (2), and
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☒ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

- ☐ I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: _____
- OR
- ☐ I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number _____ on _____
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Respectfully submitted,

John Deryk WATERS

By

William T. Ellis

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Date: 10/31/2003

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The USPTO has waived the requirement under 37 CFR 1.98(a)(2)(i) to submit copies of U.S. patents and U.S. patent application publications when citing and submitting an Information Disclosure Statements in a patent application filed after June 30, 2003 and in an international application that has entered the national stage under 37 USC §371 after June 30, 2003. Accordingly, copies of these types of documents are not being supplied in connection with this application. Reference is being made to Pre-OG Notice from Office of Patent Legal Administration dated July 25, 2003, *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003*.

PATENT APPLICATION

Sheet 1 of 1

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|---|--|-----------------|------------------|
| FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | ATTY. DOCKET NO. 300203673-2 | APPLICATION NO. | CONFIRMATION NO. |
| APPLICANT J hn D ryk WATERS | | | |
| FILING DATE 10/31/2003 | | GROUP | |

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
|---------------------|----|--------------------|---------------------|----------------|--|
| | 1A | 6,163,260 | 12/19/2000 | CONWELL et al. | |
| | 1B | 5,497,140 | 03/05/1996 | TUTTLE | |
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| | 1K | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
|--|----|--------------------|---------------------|----------------------------------|---|-------------------------------------|
| | 1L | 2002123805A | 04/26/2002 | MASAHIRO et al. | | |
| | 1M | 11-096318A | 04/109/1999 | TADAYOSHI et l. | | |
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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | | |
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| | 1R | |
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